

PATENTS Patent No. 6,967,448 Attorney Docket No. CKB-091.01

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 6,967,448	)
Issued: November 22, 2005	)
To: Morgan et al.	)
For: METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION	) ) Certificate
Application No: 10/045,629	) Certificate ) MAR 0 1 2006
Filed: October 25, 2001	of Correction

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### REQUEST FOR CERTIFICATE OF CORRECTION

Please correct the above-identified patent in the manner that the accompanying Form U.S. Patent and Trademark Office-1050 indicates.

A copy of a Corrected Filing Receipt mailed September 27, 2004 indicating the domestic priority data as claimed by applicant is enclosed, showing the related application data that was omitted on the issued patent.

A copy of an Office Communication mailed September 16, 2005 is also enclosed, indicating that the examiner considered the references cited that were omitted from the issued patent.

PATENTS Patent No. 6,967,448 Attorney Docket No. CKB-091.01

The correction was necessitated solely by Office error, so no fee is enclosed. If a fee is nonetheless required, the Commissioner is hereby authorized to charge Deposit Account **06-1448**, reference CKB-091.01.

Respectfully submitted,

Date: February <u>H</u>, 2006

Customer No: 25181

Patent Group Foley Hoag, LLP 155 Seaport Blvd.

Boston, MA 02210-2600

Joseph Teja, Jr., Reg. No. 45,157

Attorney for Applicants Tel. No. (617) 832-1183 Fax. No. (617) 832-7000

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO: 6,967,448

DATED: November 22, 2005

INVENTOR(S): Morgan et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

The following related applications should be included in the Related U.S. Application Data in addition to that which is already listed:

#### Related U.S. Application Data

Continuation-in-part of application no. 09/669,121, filed on September 25, 2000, said application no. 09/669,121 is a continuation of application no. 09/425,770 filed on October 22, 1999, now Patent No. 6,150,774, said application no. 09/425,770 is a continuation of 08/920,156 filed August 26, 1997, now Patent No. 6,016,038.

The following cited references should also be included on the patent in addition to those already listed:

#### **References Cited**

#### U.S. Patent Documents

5,333,228	07/94	Kingston
5,528,714	06/96	Kingstone et al.
5,617,496	04/97	Kingstone
5,617,497	04/97	Kingstone
5,789,471	08/98	Caruso
5,838,860	11/98	Kingstone et al.
6,200,012	03/01	Ouyang
6,398,397	06/02	Koren
6,422,730	07/02	Koren et al.
6,484,952	11/02	Koren

#### Specification

Claim 33, paragraph b) has a typographical error which should be corrected as follows:

b) controlling at least a color of the variable color radiation based on at least one interruption of sower power supplied to the at least one light source.

MAILING ADDRESS OF SENDER:

Foley Hoag, LLP Seaport World Trade Center West 155 Seaport Boulevard Boston, MA 02210-2600 PATENT NO., 6,967,448

No. of additional copies:

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO: 6,967,448

DATED: November 22, 2005

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5,617,496	04/97	Kingstone
5,617,497	04/97	Kingstone
5,789,471	08/98	Caruso
5,838,860	11/98	Kingstone et al.
6,200,012	03/01	Ouyang
6,398,397	06/02	Koren
6,422,730	07/02	Koren et al.
6 484 952	11/02	Koren

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MAILING ADDRESS OF SENDER:

Foley Hoag, LLP Seaport World Trade Center West

155 Seaport Boulevard Boston, MA 02210-2600 PATENT NO.. 6,967,448

No. of additional copies

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





# Reviewed by Docketing



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Vignita 22313-1450

API	PL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/0	45,629.	10/25/2001	2821	2202	C01104/70091 RFG	20	54	7

37462 LOWRIE, LANDO & ANASTASI RIVERFRONT OFFICE ONE MAIN STREET, ELEVENTH FLOOR CAMBRIDGE, MA 02142



CONFIRMATION NO. 3677
CORRECTED FILING RECEIPT
\*OC000000013912748\*

Date Mailed: 09/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

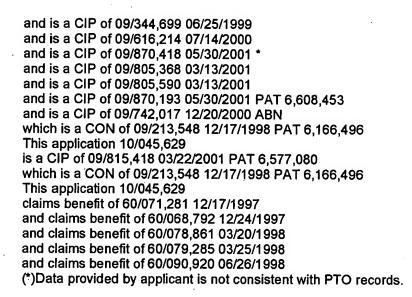
#### Applicant(s)

Frederick M. Morgan, Quincy, MA; Ihor A. Lys, Boston, MA; George G. Mueller, Boston, MA; Kevin J. Dowling, Westford, MA; John Warwick, Cambridge, MA; Timothy Holmes, Jacksonville, FL;

Power of Attorney: The patent practitioners associated with Customer Number 37462.

#### Domestic Priority data as claimed by applicant

This appln claims benefit of 60/243,250 10/25/2000 and claims benefit of 60/296,377 06/06/2001 and claims benefit of 60/297,828 06/13/2001 and claims benefit of 60/290,101 05/10/2001 and is a CIP of 09/669,121 09/25/2000 which is a CON of 09/425,770 10/22/1999 PAT 6,150,774 which is a CON of 08/920,156 08/26/1997 PAT 6,016,038 This application 10/045,629 is a CIP of 09/215,624 12/17/1998 PAT 6,528,954 and is a CIP of 09/213,189 12/17/1998 PAT 6,459,919 and is a CIP of 09/213,581 12/17/1998 PAT 6,720,745 and is a CIP of 09/213,540 12/17/1998 PAT 6,720,745 and is a CIP of 09/333,739 06/15/1999



#### **Foreign Applications**

If Required, Foreign Filing License Granted: 04/12/2002

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/045,629

**Projected Publication Date: Not Applicable** 

Non-Publication Request: No

**Early Publication Request: No** 

**Title** 

METHODS AND APPARATUS FOR CONTROLLING ILLUMINATION

**Preliminary Class** 

315

## LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

CKB-09101 8

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

DATE MAILED: 09/16/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/045,629	10/25/2001	Frederick M. Morgan	C01104/70091 RFG	3677
37462	7590 09/16/2005	IPE	EXAM	INER
LOWRIE, L	ANDO & ANASTASI		PHILOGEN	E, HAISSA
RIVERFRON ONE MAIN	NT OFFICE STREET, ELEVENTH F	/ <b>&amp;</b> \	ART UNIT	PAPER NUMBER
	E. MA 02142	LOOR ( EFR 2.7 2006 W)	2828	

Please find below and/or attached an Office communication concerning this application or proceeding.

RECEIVED

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PATENT DEPT. DOCKETING



### UNITED STATES ARTMENT OF COMMERCE U.S. Patent and Tragemark Office

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APPLICATION NO.

FILING DATE

FIRST NAMED INVENTOR /
PATENT IN REEXAMINATION

ATTORNEY DOCKET NO.

FEB 2 7 2006 P

EXAMINER

ART UNIT PAPER

01272005

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

The IDS filed on 01/27/05 has been considered. A copy reflecting the nature of the consideration is being provided.

Any comments or questions regarding this communication should be directed to examiner Haissa Philogene whose telephone number is (571) 272-1827.

Attached: copy of PTO-Form 1449



FORM PTO-1449/A and B (Margied)

APPLICATION NO.: 10/045,629

ATTY. DOCKET NO.: C01104/70091

INFORMATION DISCLOSURE

FILING DATE: October 25, 2001

CONFIRMATION NO.: 3677

STATEMENT BY APPLICANT

APPLICANT: Frederick M. Morgan, et al.

GROUP ART UNIT: 2828

EXAMINER: Haissa Philogene

U.S. PATENT DOCUMENTS

Examiner's Cite Initials No.	Cite	U.S. Patent Doc	ument	Name of Patentee or Applicant of Cited	Date of Publication or of issue
		Number	Kind Code	Document	of Cited Document MM-DD-YYYY
. 10		5,333,228		Kingstone	07/26/94
. 14		5,528,714		Kingstone et al.	06/18/96
		5,617,496	·	Kingstone	04/01/97
		5,617,497	•	Kingstone	04/01/97
		5,789,471		Caruso	08/04/98
		5,838,860		Kingstone et al.	11/17/98
		6,200,012		Ouyang	03/13/01
		6,398,397		Koren	06/04/02
V		6,422,730		Koren et al.	07/23/02
hp		6,484,952	,	Koren	11/26/02

**FOREIGN PATENT DOCUMENTS** 

Examiner's Cite	Foreign Patent Document			Name of Patentee or Applicant of Cited	Date of Publication of	Translation	
Initials No. Office/ Number Kind Code		Document (not necessary)	Cited Document MM-DD-YYYY	(Y/N)			

OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner's	Cite	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
Initials	No		(Y/N)
1			

EXAMINER	Maria Milo	<b>/~</b>	DATE CONSIDERED	8/29/05	

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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